

CLAIMS:

1. A method for assessing brain state by analysing mammalian brain electroencephalogram (EEG) recordings using an eighth order autoregressive and fifth
5 order moving average discrete time equation.

2. A method as claimed in claim 1, further including the steps of:
taking a z-transform for said eighth order autoregressive and fifth order moving
average discrete time equation to obtain a z-domain equation, determining poles and zeroes
10 in the solution of the z-domain equation; and
plotting the poles onto the complex plane.

3. A method of assessing the state of a mammalian brain including the steps of:
(i) obtaining an electroencephalogram (EEG) from the brain;
15 (ii) digitising the EEG to define a digitised EEG data signal;
(iii) segmenting the EEG data signal into time frames of fixed length, $y[n]$;
(iv) approximating each digitised time frame by a first equation:

$$y[n] = -\sum_{k=1}^8 a_k y[n-k] + \sum_{k=0}^5 b_k u[n-k]$$

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(v) solving the first equation to determine coefficients a_1 to a_8 and b_0 to b_5 ;
(vi) performing a z-transform on the first equation to obtain a second, z-domain
equation:

$$Y(z) = \frac{\sum_{k=0}^5 b_k z^{-k}}{1 + \sum_{k=1}^8 a_k z^{-k}} U(z) = \frac{B(z)}{A(z)} U(z)$$

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(vii) substituting each of the values of the coefficients into the z-domain
equation;
(viii) solving $A(z) = 0$ for z in the second equation to determine the poles;

- (ix) plotting the poles in the complex plane;
- (x) repeating steps (iv) to (ix) for each frame in the sample to determine clusters of poles in the complex plane; and
- (xi) assessing the state of the brain by reference to the position and distribution
5 of at least some of said clusters of poles as mapped in the complex plane.

4. A method of assessing the state of a mammalian brain including the steps of:
- (i) obtaining an electroencephalogram (EEG) from the brain;
 - (ii) digitising the EEG to define a digitised EEG data signal;
 - 10 (iii) segmenting the EEG data signal into time frames of fixed length, $y[n]$;
 - (iv) approximating each digitised time frame by a first equation:

$$y[n] = -\sum_{k=1}^8 a_k y[n-k] + \sum_{k=0}^5 b_k u[n-k]$$

- 15 (v) solving the first equation to determine coefficients a_1 to a_8 and b_0 to b_5 ;
- (vi) performing a z-transform on the first equation to obtain a second, z-domain equation:

$$Y(z) = \frac{\sum_{k=0}^5 b_k z^{-k}}{1 + \sum_{k=1}^8 a_k z^{-k}} U(z) = \frac{B(z)}{A(z)} U(z)$$

- 20 (vii) substituting each of the values of the coefficients into the z-domain equation;
- (viii) solving $A(z) = 0$ for z in the second equation to determine the poles;
 - (ix) plotting the poles in the complex plane;
 - (x) repeating steps (iv) to (ix) for each frame in the sample to determine
25 clusters of poles in the complex plane; and
 - (xi) administering an intervention to the brain;
 - (xii) repeating steps (i) to (x) at least once;

(xiii) monitoring movement of at least some of said clusters of poles in the complex plane; and

(xiv) assessing the state of the brain by reference to movement of at least some of said clusters of poles as mapped in the complex plane.

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5. A method as claimed in claim 3 or 4 including the step of filtering the EEG to remove noise signals therefrom prior to carrying out step (iii).

6. A method as claimed in any one of claims 1 to 4, wherein said EEG is obtained and
10 recorded before it is processed.

7. A method of assessing the state of a mammalian brain including the steps of:
(i) obtaining a first electroencephalogram (EEG) from the brain;
(ii) digitising the EEG to define a digitised EEG data signal;
15 (iii) segmenting the EEG data signal into time frames of fixed length, $y[n]$;
(iv) approximating each digitised time frame by a first equation:

$$y[n] = -\sum_{k=1}^8 a_k y[n-k] + \sum_{k=0}^5 b_k u[n-k]$$

20 (v) solving the first equation to determine coefficients a_1 to a_8 and b_0 to b_5 ;
(vi) performing a z-transform on the first equation to obtain a second, z-domain equation:

$$Y(z) = \frac{\sum_{k=0}^5 b_k z^{-k}}{1 + \sum_{k=1}^8 a_k z^{-k}} U(z) = \frac{B(z)}{A(z)} U(z)$$

(vii) substituting each of the values of the coefficients into the z-domain
25 equation;

(viii) solving $A(z) = 0$ for z in the second equation to determine the poles;

(ix) plotting the poles in the complex plane;

- (x) repeating steps (iv) to (ix) for each frame in the sample to determine clusters of poles in the complex plane;
 - (xi) obtaining a second EEG from said brain at a later time;
 - (xii) repeating steps (ii) to (x) in relation to the second EEG at least once;
 - 5 (xiii) monitoring the movement of at least some corresponding clusters of poles in the complex plane derived from the first and second EEGs respectively; and
 - (xiv) assessing the state of the brain by reference to movement of at least some of said clusters of poles as mapped in the complex plane.
- 10 8. A method as claimed in claim 6 including the step of filtering the EEG to remove noise signals therefrom prior to carrying out step (iii).
9. A method as claimed in claim 7 or 8, wherein said first and second EEG is obtained and recorded before it is processed.
- 15 10. A method as claimed in claim 7 or 8, wherein said EEG, or said first and second EEG, is obtained and recorded in its entirety for processing at a later point in time.
11. A method as claimed in claim 7 or 8, wherein said EEG, or said first and second
- 20 EEG, is each repeatedly obtained over consecutive and constant time intervals.
12. A method as claimed in claim 11, wherein a said time interval may overlap with an immediately preceding time interval.
- 25 13. A method as claimed in any one of claims 3 to 12, wherein the step of step (x) is repeated continuously to track the motion of the poles from each segment.
14. A method as claimed in any one of claims 3 to 6, wherein the step of step (xi) includes the steps:
- 30 (xi)(a) taking the centroid of the poles for each cluster of poles; and
- (xi)(b) monitoring and comparing the movement of said centroids.

15. A method as claimed in claim 14 including the step of:

- (xi)(c) analysing the statistical variability of the poles in said clusters of poles.

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16. A method as claimed in any one of claims 7 to 12, wherein the step of step (xiv) includes the steps of:

- (xiv)(a) taking the centroid of the poles for each cluster of poles; and
(xiv)(b) monitoring and comparing the movement of said centroids.

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17. A method as claimed in claim 16 including the step of:

- (xiv)(c) analysing the statistical variability of the poles in said clusters of poles.

15 18. A method of assessing the efficacy of a cognitively active pharmaceutical agent including the steps of:

- (i) obtaining a first electroencephalogram (EEG) from the brain of a subject;
(ii) digitising the EEG to define a digitised EEG data signal;
(iii) segmenting the EEG data signal into time frames of fixed length, $y[n]$;
20 (iv) approximating each digitised time frame by a first equation:

$$y[n] = -\sum_{k=1}^8 a_k y[n-k] + \sum_{k=0}^5 b_k u[n-k]$$

- (v) solving the first equation to determine coefficients a_1 to a_8 and b_0 to b_5 ;

25 (vi) performing a z-transform on the first equation to obtain a second, z-domain equation:

$$Y(z) = \frac{\sum_{k=0}^5 b_k z^{-k}}{1 + \sum_{k=1}^8 a_k z^{-k}} U(z) = \frac{B(z)}{A(z)} U(z)$$

- (vii) substituting each of the values of the coefficients into the z-domain equation;
- (viii) solving $A(z) = 0$ for z in the second equation to determine the poles;
- (ix) plotting the poles in the complex plane;
- 5 (x) repeating steps (iv) to (ix) for each frame in the sample to determine clusters of poles in the complex plane;
- (xi) administering a dose of a cognitively active pharmaceutical agent to the subject;
- (xii) obtaining a second EEG from said brain after step (xi);
- 10 (xiii) repeating steps (ii) to (x) in relation to the second EEG at least once;
- (xiv) monitoring the movement of at least some corresponding clusters of poles in the complex plane derived from the first and second EEGs respectively; and
- (xv) assessing the efficacy of the cognitively active pharmaceutical agent by reference to movement of at least some of said clusters of poles as mapped in the complex
15 plane.

19. A method of assessing the state of vigilance or alertness of a subject including the steps of:

- (i) obtaining an electroencephalogram (EEG) from the brain of a subject;
- 20 (ii) digitising the EEG to define a digitised EEG data signal;
- (iii) segmenting the EEG data signal into time frames of fixed length, $y[n]$;
- (iv) approximating each digitised time frame by a first equation:

$$y[n] = -\sum_{k=1}^8 a_k y[n-k] + \sum_{k=0}^5 b_k u[n-k]$$

- 25 (v) solving the first equation to determine coefficients a_1 to a_8 and b_0 to b_5 ;
- (vi) performing a z-transform on the first equation to obtain a second, z-domain equation:

$$Y(z) = \frac{\sum_{k=0}^5 b_k z^{-k}}{1 + \sum_{k=1}^8 a_k z^{-k}} U(z) = \frac{B(z)}{A(z)} U(z)$$

- (vii) substituting each of the values of the coefficients into the z-domain equation;
- 5 (viii) solving $A(z) = 0$ for z in the second equation to determine the poles;
- (ix) plotting the poles in the complex plane;
- (x) repeating steps (iv) to (ix) for each frame in the sample to determine clusters of poles in the complex plane;
- (xi) repeating steps (i) to (x);
- 10 (xii) monitoring movement of at least some of said clusters of poles in the complex plane; and
- (xiii) assessing the state of vigilance or alertness of the subject by reference to movement of at least some of said clusters of poles as mapped in the complex plane.
- 15 20. A method of assessing the state of sleep of a subject including the steps of:
- (i) obtaining an electroencephalogram (EEG) from the brain of a subject;
- (ii) digitising the EEG to define a digitised EEG data signal;
- (iii) segmenting the EEG data signal into time frames of fixed length, $y[n]$;
- (iv) approximating each digitised time frame by a first equation:
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- $$y[n] = -\sum_{k=1}^8 a_k y[n-k] + \sum_{k=0}^5 b_k u[n-k]$$
- (v) solving the first equation to determine coefficients a_1 to a_8 and b_0 to b_5 ;
- (vi) performing a z-transform on the first equation to obtain a second, z-domain
- 25 equation:

$$Y(z) = \frac{\sum_{k=0}^5 b_k z^{-k}}{1 + \sum_{k=1}^8 a_k z^{-k}} U(z) = \frac{B(z)}{A(z)} U(z)$$

- (vii) substituting each of the values of the coefficients into the z-domain equation;
- 5 (viii) solving $A(z) = 0$ for z in the second equation to determine the poles;
- (ix) plotting the poles in the complex plane;
- (x) repeating steps (iv) to (ix) for each frame in the sample to determine clusters of poles in the complex plane;
- (xi) repeating steps (i) to (x);
- 10 (xii) monitoring movement of at least some of said clusters of poles in the complex plane; and
- (xiii) assessing the state of sleep of the subject by reference to movement of at least some of said clusters of poles as mapped in the complex plane.
- 15 21. A method of assessing the state of anaesthesia of a subject including the steps of:
- (i) obtaining an electroencephalogram (EEG) from the brain of a subject while anaesthetised;
- (ii) digitising the EEG to define a digitised EEG data signal;
- (iii) segmenting the EEG data signal into time frames of fixed length, $y[n]$;
- 20 (iv) approximating each digitised time frame by a first equation:

$$y[n] = -\sum_{k=1}^8 a_k y[n-k] + \sum_{k=0}^5 b_k u[n-k]$$

- (v) solving the first equation to determine coefficients a_1 to a_8 and b_0 to b_5 ;
- 25 (vi) performing a z-transform on the first equation to obtain a second, z-domain equation:

$$Y(z) = \frac{\sum_{k=0}^5 b_k z^{-k}}{1 + \sum_{k=1}^8 a_k z^{-k}} U(z) = \frac{B(z)}{A(z)} U(z)$$

- (vii) substituting each of the values of the coefficients into the z-domain equation;
- 5 (viii) solving $A(z) = 0$ for z in the second equation to determine the poles;
- (ix) plotting the poles in the complex plane;
- (x) repeating steps (iv) to (ix) for each frame in the sample to determine clusters of poles in the complex plane;
- (xi) repeating steps (i) to (x);
- 10 (xii) monitoring movement of at least some of said clusters of poles in the complex plane; and
- (xiii) assessing the state of anaesthesia of the subject by reference to movement of at least some of said clusters of poles as mapped in the complex plane.
- 15 22. A method of assessing the state of anaesthesia of a subject including the steps of:
- (i) obtaining a first electroencephalogram (EEG) from the brain;
- (ii) digitising the EEG to define a digitised EEG data signal;
- (iii) segmenting the EEG data signal into time frames of fixed length, $y[n]$;
- (iv) approximating each digitised time frame by a first equation:

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$$y[n] = -\sum_{k=1}^8 a_k y[n-k] + \sum_{k=0}^5 b_k u[n-k]$$

- (v) solving the first equation to determine coefficients a_1 to a_8 and b_0 to b_5 ;
- (vi) performing a z-transform on the first equation to obtain a second, z-domain
- 25 equation:

$$Y(z) = \frac{\sum_{k=0}^5 b_k z^{-k}}{1 + \sum_{k=1}^8 a_k z^{-k}} U(z) = \frac{B(z)}{A(z)} U(z)$$

- (vii) substituting each of the values of the coefficients into the z-domain equation;
- (viii) solving $A(z) = 0$ for z in the second equation to determine the poles;
- 5 (ix) plotting the poles in the complex plane;
- (x) repeating steps (iv) to (ix) for each frame in the sample to determine clusters of poles in the complex plane;
- (xi) administering the anaesthetic to the patient;
- (xii) obtaining a second EEG from said brain after step (xi);
- 10 (xiii) repeating steps (ii) to (x) in relation to the second EEG at least once;
- (xiv) monitoring the movement of at least some corresponding clusters of poles in the complex plane derived from the first and second EEGs respectively; and
- (xv) assessing the state of anaesthesia of the subject by reference to movement of at least some of said clusters of poles as mapped in the complex plane.
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23. A system having means for assessing brain state by analysing mammalian brain electroencephalographic recordings using an eighth order autoregressive and fifth order moving average discrete time model equation.
- 20 24. A system having means for performing the method as claimed in any one of claims 1 to 22.
25. Apparatus for assessing brain state of a subject, the apparatus including a plurality of electrodes for picking up EEG signals from the brain of the subject;
- 25 digitising means for converting the EEG signals to a digitised EEG data signal;
- computing means for:
- (i) segmenting the EEG data signal into time frames of fixed length, $y[n]$;
- (ii) approximating each digitised time frame by a first equation:

$$y[n] = -\sum_{k=1}^8 a_k y[n-k] + \sum_{k=0}^5 b_k u[n-k]$$

- (iii) solving the first equation to determine coefficients a_1 to a_8 and b_0 to b_5 ;
- 5 (iv) performing a z-transform on the first equation to obtain a second, z-domain equation:

$$Y(z) = \frac{\sum_{k=0}^5 b_k z^{-k}}{1 + \sum_{k=1}^8 a_k z^{-k}} U(z) = \frac{B(z)}{A(z)} U(z)$$

- (v) substituting each of the values of the coefficients into the z-domain
- 10 equation;
- (vi) solving $A(z) = 0$ for z in the second equation to determine the poles;
- (vii) plotting the poles in the complex plane; and

display means for displaying the poles, to thereby enable assessment of the brain state of the subject by reference to the position and distribution of clusters of said poles.

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26. A computer readable medium having computer program instructions stored thereon which, when executed by a computer, performs the steps in the methods in any one of claims 3 to 22.